EXHIBIT A

CONFLICT OF INTEREST CODE OF THE CITY OF ONTARIO



Appendix Amended September 15, 2020 – Resolution No. 2020-152

Appendix Amended September 18, 2018 – Resolution No. 2018-128

Appendix Amended October 4, 2016 – Resolution No. 2016-097

Appendix Amended October 21, 2014 – Resolution No. 2014-101

Appendix Amended September 4, 2012 – Resolution No. 2012-071

Appendix Amended December 7, 2010 – Resolution No. 2010-098

Appendix Amended November 18, 2008 – Resolution No. 2008-115

Appendix Amended November 21, 2006 – Resolution No. 2006-113

Appendix Amended January 18, 2005 – Resolution No. 2005-006

Appendix Amended December 17, 2002 – Resolution No. 2002-124

Appendix Amended February 19, 2002 – Resolution No. 2002-027

Adopted July 20, 1999 – Resolution 99-102

APPENDIX

CONFLICT OF INTEREST CODE

OF THE

CITY OF ONTARIO

Amended September 15, 2020

EXHIBIT "A"

The Mayor, Members of the City Council and Planning Commission, the City Manager, the City Attorney, the City Treasurer, and All Other City Officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18701(b), are NOT subject to the City's Code but are subject to the disclosure requirements of the Act (Government Code Section 87200 et sec.). [Regs. § 18730(b)(3)]

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below are Other City Officials who manage public investments. These positions are listed here for information purposes only.

Executive Director of Finance

Investment Officer

Financial Consultant

Individuals holding one of the above - listed positions may contact the FPPC for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a position is covered by §87200.

DESIGNATED POSITIONS GOVERNED BY THE CONFLICT OF INTEREST CODE

| DESIGNATED EMPLOYEES' TITLE OR FUNCTION | DISCLOSURE CATEGORIES ASSIGNED |
|---|---------------------------------|
| Accounting Manager | 4 |
| Administrative Officer | 6 |
| Administrative Specialist (Confidential) | 6 |
| Assistant City Clerk/Records Management Director | 6 |
| Assistant City Engineer | 2, 3, 6, 7 |
| Assistant City Manager | 1, 2 |
| Assistant Director of Housing & Neighborhood Preserva | ation 2,3,6,7 |
| Assistant Engineer | 2, 3, 6, 7 |
| Assistant Human Resources Director | 2,3,6,7 |
| Assistant Library Director | 2, 3, 6 |
| Assistant Parks and Maintenance Director | 2,3,6,7 |
| Assistant Planner | 2, 3, 6, 7 |
| Assistant Planning Director | 2, 3, 6, 7 |
| Assistant Recreation/Community Services Director | 2, 3, 6 |
| Associate Civil Engineer | 2, 3, 6, 7 |
| Associate Engineer | 2, 3, 6, 7 |
| Associate Landscape Planner | 2, 3, 6, 7 |
| Associate Planner | 2, 3, 6, 7 |
| Broadband Operations Director | 2,3,6,7 |
| Broadband Operations Manager | 6,7 |

| Budget Director | 2,3,6,7 |
|---|------------|
| Budget Manager | 6,7 |
| Building Inspection Manager | 2, 3, 6, 7 |
| Building Official | 2, 3, 6, 7 |
| Building Plans Examiner | 7 |
| Building Plans Specialist | 7 |
| Business License Supervisor | 6 |
| Business Operations Director | 1, 2 |
| Chief Innovation Officer | 1, 2 |
| City Attorney (not filing under Gov Code § 87200) | 1, 2 |
| City Clerk | 1, 2 |
| City Engineer | 2, 3, 6, 7 |
| Combination Building Inspector | 6, 7 |
| Communications & Community Relations Director | 1, 2 |
| Community Improvement Director | 2,3,6,7 |
| Community Improvement Manager | 6,7 |
| Community Improvement Officer | 6 |
| Community Information Supervisor | 6 |
| Community Improvement Supervisor | 6 |
| Community Life and Culture Officer | 6 |
| Community Relations and Communication Manager | 6,7 |
| Custodial Services Supervisor | 6 |
| Database Administrator | 6 |

| Departmental Administrator | 1, 2 |
|--|------------|
| Deputy City Manager | 1,2 |
| Deputy Fire Chief | 2, 6, 7 |
| Deputy Fire Marshal | 2,6,7 |
| Deputy Police Chief | 2, 6, 7 |
| Design and Construction Director | 2,3,6,7 |
| Economic Development Coordinator | 2 |
| Economic Development Manager | 1 ,2 |
| Economic Development Specialist | 2 |
| Emergency Manager | 5 |
| EMS Nurse Administrator | 6, 7 |
| Engineering Assistant | 6 |
| Engineering Assistant - GIS | 6 |
| Environmental Programs Manager | 5,7 |
| Environmental Water/Wastewater Engineer | 2, 3, 5, 7 |
| Executive Director Community Life and Culture | 1,2 |
| Executive Director Development | 1,2 |
| Executive Director Economic Development | 1,2 |
| Executive Director Housing & Neighborhood Preservation | 1,2 |
| Executive Director Human Resources | 1,2 |
| Executive Director Information Technology | 1,2 |
| Executive Director Public Works | 1,2 |
| Facilities Maintenance Supervisor | 6 |

| Financial Analyst | 5 |
|--|---------|
| Fire Administrative Director | 2,3,6,7 |
| Fire Battalion Chief | 6, 7 |
| Fire Chief | 2, 6, 7 |
| Fire Investigation Supervisor | 6 |
| Fire Prevention Inspector | 6, 7 |
| Fire Protection Analyst | 2,3 |
| Fire Safety Specialist | 6 |
| Fiscal Services Director | 1, 2 |
| Fleet Services Manager | 6 |
| Forensic Manager | 6 |
| General Services Director | 2,3,6 |
| Housing Director | 2, 3, 6 |
| Housing Manager | 6 |
| Human Resources Analyst | 6 |
| Information Technology Applications Director | 6 |
| Information Technology Applications Manager | 6 |
| Information Technology Operations Director | 6 |
| Information Technology Systems Director | 6 |
| Internal Audit Manager | 1 |
| Internal Auditor | 1 |
| Landscape Planner | 2, 3, 7 |
| Legislative Analyst | 6 |

| Library Director | 6 |
|-------------------------------------|------------|
| Management Analyst | 6 |
| Municipal Services Director | 6 |
| Municipal Services Manager | 6 |
| Museum Director | 6 |
| Neighborhood Improvement Specialist | 1, 2 |
| Parks and Maintenance Director | 2,3,6,7 |
| Parks and Maintenance Manager | 6,7 |
| Parks and Maintenance Supervisor | 6 |
| Payroll Analyst | 6 |
| Payroll Manager | 6,7 |
| Performance & Optimization Analyst | 6 |
| Performance & Optimization Manager | 6,7 |
| Plan Check Engineer | 2, 7 |
| Planning Director | 2, 3, 6, 7 |
| Police Administrative Director | 6 |
| Police Captain | 6, 7 |
| Police Chief | 6, 7 |
| Police Lieutenant | 6, 7 |
| Principal Engineer | 2, 3, 6, 7 |
| Principal IT Analyst | 2,3 |
| Principal Planner | 2, 3, 6, 7 |
| Principal Project Manager | 6, 7 |

| Project Coordinator | 2, 3, 6 |
|---|------------|
| Project Manager | 2, 3, 6 |
| Public Facilities Maintenance Manager | 6 |
| Public Services Project Manager | 6 |
| Public Works Inspector | 6, 7 |
| Purchasing Assistant | 6 |
| Purchasing Manager | 6, 7 |
| Purchasing Team Leader | 6 |
| Recreation/Community Services Director | 2,3,6,7 |
| Recreation/Community Services Manager | 6,7 |
| Recreation /Community Services Supervisor | 6 |
| Revenue Services Specialist | 6 |
| Revenue Services Supervisor | 6 |
| Risk/Safety Manager | 6,7 |
| Senior Associate Civil Engineer | 2, 3, 6, 7 |
| Senior Associate Engineer | 2, 3, 6, 7 |
| Senior Combination Building Inspector | 6, 7 |
| Senior Community Information Specialist | 6 |
| Senior Community Improvement Officer | 6 |
| Senior Deputy Fire Chief | 2, 3, 7 |
| Senior Environmental Technician | 6 |
| Senior Financial Analyst | 5 |
| Senior Fire Safety Specialist | 6 |

| Senior Human Resources Analyst | 6 |
|---|------------|
| Senior Information Technology Analyst | 6 |
| Senior Landscape Architect | 6 |
| Senior Landscape Planner | 6 |
| Senior Legislative Analyst | 6 |
| Senior Management Analyst | 6 |
| Senior Neighborhood Improvement Specialist | 1, 2 |
| Senior Network Engineer | 2, 7 |
| Senior Plan Check Engineer | 2, 7 |
| Senior Planner | 2, 3, 6, 7 |
| Senior Project Coordinator | 2, 3, 6 |
| Senior Project Manager | 2, 3, 6 |
| Senior Public Works Inspector | 6, 7 |
| Senior Recreation/Community Services Supervisor | 6 |
| Senior Systems Analyst | 6 |
| Senior Telecommunications Analyst | 5 |
| Street Maintenance Supervisor | 6 |
| Supervising Building Inspector | 6, 7 |
| Supervising Public Works Inspector | 6, 7 |
| Survey Party Chief | 6, 7 |
| Sustainability Program Manager | 6 |
| Traffic/Transportation Manager | 2, 3, 6, 7 |
| Utilities Administrative Services and Integrated Waste Director | 2,3,6,7 |

| Utilities Assistant General Manager | 2,3, 6, 7 |
|---------------------------------------|-----------|
| Utilities Customer Services Director | 2,3,6,7 |
| Utilities General Manager | 1, 2 |
| Utilities Operations Director | 2,3,6,7 |
| Utilities Operations Division Manager | 6,7 |
| Utilities Project Manager | 2, 3, 6 |
| Utilities Supervisor | 6 |
| Water Production Manager | 6, 7 |
| Water Quality Specialist | 6, 7 |
| Water Resources Manager | 6, 7 |
| Water/Sewer Maintenance Manager | 6, 7 |

BOARDS, COMMISSIONS AND COMMITTEES

| Library Board of Trustees | 2, 3, 6 |
|---|---------|
| Museum Board Members | 6 |
| Recreation and Parks Commission Members | 2, 3, 6 |

CONSULTANTS

Consultants shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The City Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The City Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.